

## Site-Specific Codes for Neoadjuvant Therapy Treatment Effect

### Schema: Prostate

*Neoadjuvant Therapy--Treatment Effect* data item [NAACCR # 1634] is related to the *Neoadjuvant Therapy* data item [NAACCR # 1632]. This data item records the findings from the post neoadjuvant therapy **surgical pathology report ONLY** when surgery is performed after neoadjuvant therapy. This set of codes applies to the Prostate schema.

Code	Description
0	Neoadjuvant therapy not given/no known presurgical therapy
1	No residual invasive carcinoma identified Residual in situ carcinoma only Stated as Complete response (CR)
2	Radiation therapy effect present
3	Hormonal therapy effect present
4	Other therapy effect(s) present
6	Neoadjuvant therapy completed and surgical resection performed, response not documented or unknown Cannot be determined
7	Neoadjuvant therapy completed and planned surgical resection not performed
9	Unknown if neoadjuvant therapy performed Unknown if planned surgical procedure performed after completion of neoadjuvant therapy Death Certificate only (DCO)

For purposes of this data item, **neoadjuvant therapy** is defined as systemic treatment (chemotherapy, endocrine/hormone therapy, targeted therapy, immunotherapy, or biological therapy) and/or radiation therapy given to shrink a tumor before surgical resection.

**Surgical resection:** For purposes of this data item, surgical resection is defined as the most definitive surgical procedure that removes some or all of the primary tumor or site, with or without lymph nodes and/or distant metastasis. For many sites, this would be Surgical Codes A300-A800 or B300-B800; however, there are some sites where surgical codes less than A300 or B300 could be used, for example, Code B290 for Breast (central lumpectomy).

**Note:** This data item is **not** the same as AJCC's Post Therapy Path (yp) Pathological Response, which is based on the managing/treating physician's evaluation from the surgical pathology report and clinical evaluation after neoadjuvant therapy. This data item addresses response based on the **surgical pathology report** including the Treatment Effect section of the CAP Cancer Protocol if applicable.

### Coding Instructions

Use the *Neoadjuvant Therapy--Treatment Effect* data item [NAACCR # 1634] to record the findings from the post neoadjuvant therapy **surgical pathology report ONLY** including the Treatment Effect section of the CAP Cancer Protocol if applicable.

1. Assign code **0**
  - a. When the patient did not receive neoadjuvant therapy prior to surgical resection  
*Example:* Patient received a short course of hormone therapy and it is not part of a clinical trial.  
*Exception:* If hormone therapy is given as part of a clinical trial, this is coded as neoadjuvant therapy and would not be coded as 0 for treatment effect.
  - b. When the treatment administered is not neoadjuvant therapy (pre-surgical treatment) because surgical resection was not planned  
*Example:* Patient diagnosed with prostate cancer. Patient opted for and treated with beam radiation only. No surgical resection planned.
  - c. When it is clear that the patient did not have neoadjuvant therapy based on the sequence of diagnosis and treatment  
*Example:* Patient diagnosed with prostate cancer via needle core biopsy, followed by radical prostatectomy.
  - d. For autopsy only cases  
*Note:* *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 0 or 3.
2. Assign code **1** when
  - a. No residual invasive carcinoma is documented in the surgical pathology report
  - b. A complete response (CR) is documented in the surgical pathology report  
*Note:* CR is defined as the absence of all known tumor/lesions and lymph nodes.
  - c. There is residual **in situ** cancer only
  - d. The case meets the criteria in code 1 and also meets the criteria in code 2, 3, and/or 4. Code 1 is preferred over codes 2, 3, and 4.  
*Note:* *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 1 or 2.
3. Assign code **2** when
  - a. Radiation therapy effect is present as documented in the surgical pathology report
  - b. The case meets the criteria in code 2 and also meets the criteria in code 3 and/or 4. Code 2 is preferred over codes 3 and 4.  
*Note 1:* Use code 2 only when the radiation therapy effect is seen after a **complete** course of neoadjuvant therapy. It is possible to see radiation therapy effect after a short course of therapy that does not qualify for neoadjuvant therapy. Assign code 0 in this situation.  
*Note 2:* *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 1.
4. Assign code **3** when
  - a. Hormonal therapy effect is present as documented in the surgical pathology report  
*Note:* If hormone therapy is given as part of a clinical trial, this is considered neoadjuvant therapy.

**Example:** Patient has localized prostate cancer and is part of a clinical trial. Three hormonal agents along with two ancillary agents were administered for 3 months, followed by radical prostatectomy that showed treatment effect.

- b. The case meets the criteria in code 3 and also meets the criteria in code 4. Code 3 is preferred over code 4.

**Note 1:** Use code 3 only when the hormone therapy effect is seen after a **complete** course of neoadjuvant therapy. It is possible to see hormone therapy effect after a short course of therapy that does not qualify for neoadjuvant therapy. Assign code 0 in this situation.

**Note 2:** *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 1.

5. Assign code **4** when

- a. Other treatment effect is present as documented in the surgical pathology report
- b. The case meets the criteria in code 4 and codes 1, 2, and/or 3 do not apply to this case. Code 4 is last in terms of priority among codes 1, 2, 3 and 4.

**Note 1:** Use code 4 only when the other therapy effect is seen after a **complete** course of neoadjuvant therapy. It is possible to see other therapy effect after a short course of therapy that does not qualify for neoadjuvant therapy. Assign code 0 in this situation.

**Note 2:** *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 1.

6. Assign code **6** when

- a. Neoadjuvant therapy was completed and there is no documented treatment response in the surgical pathology report

**Note:** *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 1 or 2.

7. Assign code **7** when

- a. The planned post neoadjuvant surgical resection was not completed for reasons including
  - i. Complete clinical response and planned surgical resection cancelled
  - ii. Treatment failure (stable or progressive disease) and planned surgical resection cancelled
  - iii. Complications and planned surgical resection cancelled
  - iv. Patient refusal of planned surgical resection
  - v. Planned surgical resection started but not completed (surgical resection aborted)

**Note 1:** *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 1 or 2.

**Note 2:** Code the reason for the surgery not done in *Reason for No Surgery* [NAACCR # 1340].

8. Assign code **9** when

- a. It is unknown whether neoadjuvant therapy was administered
  - i. Planned, but unknown if given
  - ii. Death certificate only (DCO)
- b. The only information available is the managing/treating physician's evaluation

*Note 1:* *Neoadjuvant Therapy* data item [NAACCR # 1632] coded to 9.

*Note 2:* *Neoadjuvant Therapy--Clinical Response* data item [NAACCR # 1633] coded to 9.

*Note 3:* Code 9 (unknown) should be used rarely.

*Note 4:* Use code 0 when it is clear that the patient did not have neoadjuvant therapy based on the sequence of diagnosis and treatment.